



DEPARTMENT OF THE NAVY  
OFFICE OF THE CHIEF OF NAVAL OPERATIONS  
2000 NAVY PENTAGON  
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MEMORANDUM FOR DISTRIBUTION

Subj: ALIGNMENT AND RESPONSIBILITY OF NAVY REQUIREMENTS  
GENERATION AND RESOURCE PLANNING

Encl: (1) Roles and Responsibilities for Implementation of the  
Battleforce Capability Assessment and Programming  
Process (BCAPP)

1. To modify the CNO (N7) process in the Planning, Programming, and Budgeting System (PPBS) to focus on capability-driven warfighting requirements and achieve the greatest benefit from integrating those capabilities across the N7 mission areas, the Director, Warfare Integration and Assessments (N70) is designated as the principal deputy for BCAPP formulation and execution and the conduit through which N7 direction may be issued in terms of data calls and directives specific to capability-based inquiries and POM integration and development. Responsibilities included in this role are outlined in enclosure (1). A major benefit will be to enhance our ability to better communicate a long-term warfighting vision with attendant procurement, force structure, and capability to counter threats and achieve mission success via application of analysis both within and beyond Future Year Defense Plans (FYDPs). Effective immediately all PPBS, BCAPP, and any issues impacting integration elements will be coordinated with N70 for delivery as a consolidated N7 product.

2. As part of the alignment of the N7 organization, the Battleforce Capability Assessment and Programming Process (BCAPP) is established as the process to be used in preparing an integrated sponsor program proposal (ISPP) for POM 04. N7 will deliver an ISPP that will be based on providing the required capabilities to the naval warfighter that integrates needs, meets Defense Planning Guidance, supports Joint and Navy strategies, and is within fiscal controls. A long range Integrated Strategic Capability Plan (ISCP) will be prepared and become N7's warfare investment strategy for programming operational capabilities and serve as the basis for the ISPP.

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3. The roles of organizations within and outside the boundaries of N7 that bear responsibilities relative to the execution of the Battleforce Capability Assessment and Programming Process (BCAPP) are outlined below. Enclosure (1) contains detailed information relevant to Mission Capability Sponsors and critical to the development of the ISCP. N7 Warfare Sponsors will continue to deliver individual SPPs, however inputs must align with N70 guidance in format and content. Subsequent to the N7 Warfare Sponsor SPP briefs, N70 will present an ISPP that will ultimately be forwarded to N8 as the single input to the program build.

4. General Guidance.

a. Capability Sponsors, as designated below, must fulfill the duties described in enclosure (1). Additional Capability Sponsors will be established, as required.

- N70 - Theater Air Missile Defense (TAMD)
- N70 - Time Critical Targeting (TCT)
- N70 - Homeland Security
- N096 - Navigation
- N74 - Undersea Warfare (USW)
- N2 - Intelligence, Surveillance, and Reconnaissance (ISR)
- N61 - Battle Force Command and Control (BFC2)

b. N7 Warfare Directorates, in addition to existing responsibilities, must:

- Continue current role as primary liaison with the fleet and acquisition communities.
- Adjust internal SPP schedules to accommodate transition to the ISPP.
- Provide recommendations for any additional MCP categories.
- Support and contribute to the ISCP review council chaired by N70 to ensure that the product represents a balanced N7 perspective.

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5. Detailed guidance will be issued by N70 in subsequent  
serials, as required.

  
D. V. MCGINN  
Vice Admiral, U.S. Navy  
Deputy Chief of Naval Operations  
Warfare Requirements and  
Programs

Distribution:

CNO (N61, N70, N71, N74, N75, N76, N77, N78, N79)

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N80, N81, N82, N89, N09B,  
N09N, N091, N093, N095, N096)

Roles and Responsibilities for Implementation of the Battleforce Capability Assessment and Programming Process (BCAPP)

Capability Sponsors develop Mission Capability Packages (MCPs) within designated domains and coordinating these packages with the Resource Sponsors, Fleet CINCs, and acquisition community. Capabilities Sponsors:

- Serve as the principal advocates for the MCP.
- Identify systems and alternatives to perform specific mission capability.
- Analyze requirements in terms of capability to meet validated threats in specified operational arenas.
- Coordinate with resource sponsors to maximize interaction with the Fleet and provide consistent information exchange with the acquisition community.
- Capture relevant concepts and CONOPS associated with the assigned MCP.
- Account for any Joint and Allied requirements, solutions, and applications.
- Capture information necessary to develop Operational architectures.
- Develop a plan, with alternatives, on how to evolve current architectures into future capabilities within constraints provided by guidance.

Mission Capability Packages:

- Serve as the primary mechanism to identify the current baseline of capabilities and to accurately forecast capability evolution based on defined assumptions.
- Constitute the elements to assist in planning and programming integrated systems capabilities as identified in Joint and Navy Strategies.
- Fuse validated and approved architectures and interoperability requirements and reflect milestones and program decisions.
- MCPs will be developed, defined, and analyzed under the supervision of Capability Sponsors and will include all appropriations relevant to the mission area.

The Integrated Strategic Capability Plan (ISCP) will integrate the MCPs and become N7's "warfare investment strategy" for programming operational requirements. The ISCP will be presented to the CNO Executive Board (CEB) through the Navy Requirements Oversight Council (NROC) prior to the start of CNO Program Analysis Memorandum (CPAM) deliberations to promote like assumptions and commonality in the warfighting investment strategy.

Enclosure (1)

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In addition, the ISCP will:

- Provide programming context by outlining the investment strategy to produce capabilities and address affordability both within and beyond the Future Years Defense Program (FYDP).
- Document the desired capability objectives and milestones.
- Establish relative capability priorities in coordination with Fleet CINCs.
- Enumerate the enabling systems, programs, S&T, and support elements for each alternative.
- Propose capability alternatives and recommendation in terms of programs and levels of functionality to be reviewed by resource sponsors for implementation during the SPP builds according to N7 direction.
- Serve as the basis for programming guidance.
- Frame integration analysis and programmatic recommendations in terms of known and anticipated threats in operational environments.
- Include references to all applicable appropriations.

The Director, Warfare Integration and Assessments (N70) will coordinate inputs from Capability Sponsors and Resource Sponsors to develop the Integrated Sponsor Program Proposal (ISPP) that will represent N7's strategy in terms of mission capabilities and fiscal realities. The following specific responsibilities are required:

- Promulgate N7 fiscal/programming guidance.
  - Equip Resource and Capability sponsors with required formats for MCP inputs, data calls, and necessary POM briefs.
  - Provide recommendations directly to N7 on programming actions and issues framed in terms of impact across warfare divisions.
  - Present an ISPP balanced to N8 controls supporting guidance issued.
  - Develop a valid construct to characterize programs and systems not directly linked to a designated MCP.
  - Serve as executive agent in developing the Integrated Strategic Capability Plan (ISCP) in coordination with Resource and Capability Sponsors.
  - Chair the ISCP Review Council.
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